

Annexure A

AFFIDAVIT – INVESTOR INFORMATION SHEET- KYC

Investor's Transaction Code: _____

Undertakings have to be given to the Bank in order that all may be in place to ensure proper execution of the obligations concerning verification of the identity of the contracting partner and identification of the beneficial owner as established in the articles 2 to 5 of the Due Diligence Conventions, the Federal Banking Commission circular of the December 1991 concerning the prevention of money laundering and article 305 of the Swiss Criminal Code and subsequent additions and revisions. (Please provide answers in following numerical sequence on separate pages if necessary)

A1: INVESTOR'S NAME			
BUSINESS NAME	:		
INCORPORATION NUMBER	:		DATE :
REGISTRATION ADDRESS	:		
BUSINESS ADDRESS	:		
BUSINESS PHONE NUMBER	:		
REPRESENTATIVE OFFICE	:		
CORPORATE E-MAIL	:		
PHONE OFFICE	:		
BUSINESS FAX NUMBER	:		
CHAIRMAN / CEO NAME	:		
NAME OF DIRECTORS	:		
NAME OF SHAREHOLDERS	:		
	:		
LEGAL REPRESENTATION	:		
LAW FIRM ADDRESS	:		
ATTORNEY	:		
CONTACT PHONE	:		
CONTACT EMAIL	:		

A2: DETAILS OF INVESTOR'S FUNDS TO BE SUBMITTED FOR INVESTMENT PURPOSES			
TYPE OF FUNDS	:		
REGISTRATION NUMBER	:		
ISIN # / COMMON CODE	:		
AMOUNT/FACE VALUE	:		
CURRENCY	:		
DEPOSITORY BANK NAME	:		
BANK ADDRESS	:		
BANK OFFICER [1]	:	BANK ID CODE	:
BANK OFFICER [2]	:	BANK ID CODE	:
BANK OFF. [1] PHONE #	:		
BANK OFF. [2] PHONE #	:		
ACCOUNT NAME	:		
ACCOUNT NUMBER	:		

A3: ACCOUNT SIGNATORY	
CORPORATE TITLE	:
FULL NAME	:
NATIONALITY	:

DATE OF BIRTH	:			
PLACE OF BIRTH	:			
PASSPORT No.	:		COUNTRY	:
EXPIRY DATE	:			
ISSUED AT	:			

B1: CO-INVESTOR'S DETAILS (ONLY WHEN INVESTOR'S HAS FORMED A PARTNERSHIP/CONSORTIUM WITH A THIRD PARTY UNDER CONTRACT AND FUNDS MAY BE CREDITED DIRECTLY FROM SAID THIRD PARTY'S ACCOUNT, FOR AN ON BEHALF OF THE INVESTMENT PARTNERSHIP/CONSORTIUM, TO INVESTMENT PROVIDER'S DESIGNATED TRANSACTION ACCOUNT)

BUSINESS NAME	:			
INCORPORATION NUMBER	:		DATE	:
REGISTRATION ADDRESS	:			
BUSINESS ADDRESS	:			
BUSINESS PHONE NUMBER	:			
REPRESENTATIVE OFFICE	:			
CORPORATE E-MAIL	:			
PHONE OFFICE	:			
BUSINESS FAX NUMBER	:			
CHAIRMAN / CEO NAME	:			
NAME OF DIRECTORS	:			
NAME OF SHAREHOLDERS	:			
	:			
LEGAL REPRESENTATION	:			
LAW FIRM ADDRESS	:			
ATTORNEY	:			
CONTACT PHONE	:			
CONTACT EMAIL	:			

B2: NATURE OF INVESTOR'S PARTNERSHIP/CONSORTIUM AGREEMENT WITH THEIR CO-INVESTOR. (INSERT A BRIEF EXPLANATION OF THE PARTNERSHIP/CONSORTIUM ARRANGEMENT BETWEEN INVESTOR AND THEIR CO-INVESTMENT PARTY – E.G. “AN INVESTMENT INTO THE INVESTOR'S PROJECT ON AN XXXXX BASIS” OR AN “INVESTMENT PARTICIPATION PARTNERSHIP ON AN XXXXX BASIS”, ETC. THIS IS DECLARED IN ORDER THAT THE COMPLIANCE DEPT. OF THE TRANSACTION BANK FULLY UNDERSTANDS THE RELATIONSHIP BETWEEN THE INVESTOR AND THEIR CO-INVESTMENT PARTNER.)

--	--	--	--	--

B3: ACCOUNT SIGNATORY

CORPORATE TITLE	:			
FULL NAME	:			
NATIONALITY	:			
DATE OF BIRTH	:			
PLACE OF BIRTH	:			

PASSPORT No.	:		COUNTRY	:	
EXPIRY DATE	:				
ISSUED AT	:				

C1: ORIGIN OF FINANCIAL ASSETS

OUR/MY FINANCIAL ASSETS, WHICH TOTAL US\$ / EUR€ _____ (____ VALUE IN FIGURES _____), IN THE FORM OF CASH FUNDS WERE ACCRUED AS FOLLOWS:

OR

OUR/MY FINANCIAL ASSETS, WHICH BEARS A FACE VALUE OF US\$ / EUR€ _____ (____ VALUE IN FIGURES _____), WHICH IS FREE AND CLEAR OF ALL LIENS AND ENCUMBRANCES, WAS PURCHASED ON THE _____ FROM _____ REF INVOICE # _____

OR EXPLAIN BRIEFLY HOW THE FUNDS WERE ACCUMULATED OVER TIME.

AS FURTHER SUPPORTING INFORMATION, HERETO ATTACHED PLEASE FIND THE FOLLOWING DOCUMENTS:

A: INVESTMENT SUBMISSION SUPPORTING DOCUMENTS:

- a) Colour copy of current "Bank Statement of Account" reflecting the subject Funds on account.

(Insert Bank Portfolio Statement)

- b) Bank confirmation of Investor's signatory control of subject account and the bank's readiness to advance the subject transaction, signed by two bank officers.

(Insert Bank "Statement of Readiness" Letter – see attached draft suggested verbiage below)

- c) Scanned copies of bank officers' business card.

(Insert copies of Banker's business cards)

- d) Scanned copy of Investor/Director's Passport/s.

(Insert copies of passports)

- e) Corporate Board Resolution appointing Corporate Officer to open up accounts, transfer funds and utilize subject funds for investment purposes. (if Corporation).

(If Corporation - Insert copy of Board Resolution)

B: DOCUMENTS FOR INVESTOR'S NEW PROFIT ACCOUNT OPENING PURPOSES: (may be presented once transaction has been accepted by the provider).

- a) Copy of Corporate Registration and Articles of Association. (if Corporation)
- b) Copy of invoice of utility (electricity or water, etc.) or rental bill, corresponding to home/business address.
- c) List of Board of Directors (if Corporation)

I _____ HAVE THE PERSONAL/BOARD RESOLVED POWER AND AUTHORITY TO INVEST SUBJECT FUNDS UPON SATISFACTORY TERMS AND NEVER HAVE PLACED OR TRIED TO PLACE MENTIONED ASSETS BEFORE.

I _____ HEREBY CONFIRM THAT I AM THE OWNER OF THE FUNDS/DULY APPOINTED OFFICER OF THE CORPORATION OWNING THE FUNDS, WITH FULL AND ABSOLUTE AUTHORITY TO EXECUTE ALL OF THE CONTRACTS AND AGREEMENTS RELATING TO A PRIVATE INVESTMENT TRANSACTION.

HEREBY avowed, signed and sealed on this Tuesday, 11 February 2020 in _____ (City) _____, _____ (Country) _____ for and on behalf of Investor: (Investor's Full Corporate Name)

Authorised Signature : _____
Name : _____
Title : _____
Passport Number : _____
Issuing Country : _____

Corporate Seal/Stamp

Witness: